Meeting Session	DCUSA Panel (Open Session)
Paper Reference	Panel_2016_1221_06_Ofgem's CGR3 Final Proposals
Action	For Information

Ofgem's CGR3 Final Proposals Update

This paper provides the DCUSA Panel with an update on the status of progress made, alongside an updated DCUSA action plan, in respect of requirements put forward by Ofgem within its Code Governance Review Phase 3 (CGR3) Final Proposals.

1.   Background

1.1   On 31 March 2016, Ofgem set out its Final Proposals as part of CGR3. The third phase of the review has sought to review the effectiveness of the key measures introduced under previous phases of the CGR, in order to identify further reforms.

1.2   The reforms put forward by Ofgem seek to both ensure that the governance arrangements of all industry codes deliver both non-material self-governance changes and more complex changes in an efficient and timely way and that the governance arrangements also promote transparency and accessibility for all industry participants.

1.3   This paper is a standing agenda item to provide the DCUSA Panel with monthly project updates and considerations for the DCUSA Panel in order to progress the CGR3 outcomes.

2.   Project Update

2.1   A Code Administrator meeting was held on Thursday, 24 November 2016. The main discussion points are outlined below.

Cross Code Self-Governance Guidance Document

2.2   ElectraLink sought feedback from members on the Cross Code Self-Governance Guidance which had been developed by ElectraLink over previous months. There were no further comments on the content of the self-governance guidance and Ofgem questioned where it was best placed to ensure visibility to industry. Code Administrator's agreed the guidance should be incorporated into the Code Administrator Code of Practice (CACoP) as an Appendix and added to the Ofgem website. Members agreed to progress the document under the CACoP, of which the annual review is due to commence in early 2017.

Cross Code Forward Work Plan

2.3   Code Administrator's discussed the template of the code Forward Work Plan which was presented by Elexon following previous feedback. A number of changes were agreed such as aligning the existing central code modification spreadsheet to the format of the Forward Work Plan, as the nature of the data is the same, in order for the two documents to be combined in the future. It is thought that an updated Plan can be presented to Panels in early 2017.

Code Administrators Provision of Quarterly Metric Data

2.4   Members discussed that the quarterly provision of data, requested by Ofgem, could be useful to indicate the level of change across codes, however was clear that Code Administrators were reporting differently to certain questions. For instance, in the Quarter three report, the BSC and SEC had recorded every incoming helpdesk query or call, whereas a number of other codes including the DCUSA had excluded areas such as meeting management from helpdesk call statistics. Ofgem advised that it was supposed to be a useful reporting tool for both themselves and code administrators, to which members asked that Ofgem clarify what data should be reported on, to ensure a level of consistency across all codes.

Standard Modification Templates

2.5   It was outlined that general feedback from code parties had been mixed when using the new templates. It was raised that the length of the templates was undesirable by some and code administrators queried the flexibility to update the templates and whether the template should form part of the CACoP. Members agreed that the post-implementation review of the templates could be held as part of the CACoP annual review with a view to add a final template to CACoP for consistency.

3.   Recommendation

The DCUSA Panel is invited to:

- **NOTE** the contents of the paper.

4.   Attachments

- Attachment 1 - DCUSA Action Plan - Ofgem's CGR3 Final Proposals

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