

DCUSA Change Proposal Form

This form is issued in accordance with Clause 10.5 of the DCUSA.

Completed forms should be returned to dcusa@electralink.co.uk for assessment by the DCUSA Panel. Failure to complete all parts of the form may result in it being rejected by the DCUSA Panel.

- PART A – Mandatory for all Change Proposals
- PART B – Mandatory for Non Charging Methodologies Proposals
- PART C – Mandatory for Charging Methodologies Proposals
- PART D – Guidance Notes

PART A - MANDATORY FOR ALL CHANGE PROPOSALS

| Document Control | |
|---|--|
| CP Status | Standard |
| CP Number | DCP 098 |
| Date of submission | 13/07/11 |
| Attachments | Ofgem Guidance Document |
| Originator Details | |
| Company Name | ESP Electricity Limited |
| Originator Name | Donna Townsend |
| Category | IDNO |
| Email Address | donnat@espipelines.com |
| Phone Number | 01372 225 504 |
| Change Proposal Details | |
| CP Title | DCUSA Criteria for Urgent Change Proposals |
| Impacted parties | All |
| Impacted Clause(s) | DCUSA Clause 10.7 |
| Part 1 / Part 2 Matter | Part 1 |
| Related Change Proposals | DCP 085 'Process for Determining Urgent Status' |
| Change Proposal Intent | |
| The intent of the CP is to ensure consistency between the DCUSA and the guidance produced by Ofgem regarding the criteria for determining urgency status of Change Proposals. | |
| Business Justification and Market Benefits | |
| DCP 098 will ensure that the DCUSA is consistent with the guidance produced by the Authority and also that the agreement is in line with other industry codes, ensuring a common approach to determining the status of CPs throughout the industry. | |
| Proposed Solution and Draft Legal Text | |
| Update the DCUSA to coincide with the Ofgem guidance document: Criteria for Urgent Change Proposals A Change Proposal should be treated as urgent if there is an imminent or current issue that if not urgently | |

addressed may cause:

- a significant commercial impact on Parties, Consumers or other stakeholder(s),
- an adverse effect on the safety or security of the electricity and/or gas systems, or
- a Party to be in breach of any relevant legal requirements.

Proposed Implementation Date

Next approval following Authority consent.

Impact on Other Codes

Please tick the relevant boxes and provide any supporting information.

| | |
|-----------|--------------------------|
| BSC | <input type="checkbox"/> |
| CUSC | <input type="checkbox"/> |
| Grid Code | <input type="checkbox"/> |
| MRA | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |
| None | <input type="checkbox"/> |

If other please specify

Consideration of Wider Industry Impacts

N/A

Environmental Impact

N/A

Confidentiality

N/A

PART B – MANDATORY FOR NON CHARGING METHODOLOGIES CHANGE PROPOSALS

DCUSA Objectives

General Objectives:

Please tick the relevant boxes.

1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient,

co-ordinated, and economical Distribution Networks

- 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity
- 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences
- 4 The promotion of efficiency in the implementation and administration of this Agreement

Rationale for better facilitation of the DCUSA Objectives identified above

The CP will allow the Panel to assess, based on defined criteria, whether or not a CP should be progressed with urgent status. This will prevent misuse of the status and help to ensure that all CPs go through a robust assessment, consultation and voting stage. This will also help to target Party resources to assess the most critical issues.

PART C – MANDATORY FOR CHARGING METHODOLOGIES PROPOSALS

DCUSA CDCM Objectives

Please tick the relevant boxes.

CDCM Objectives:

- 1 that compliance by each DNO Party with the Charging Methodologies facilitates the discharge by the DNO Party of the obligations imposed on it under the Act and by its Distribution Licence
- 2 that compliance by each DNO Party with the Charging Methodologies facilitates competition in the generation and supply of electricity and will not restrict, distort, or prevent competition in the transmission or distribution of electricity or in participation in the operation of an Interconnector (as defined in the Distribution Licences)
- 3 that compliance by each DNO Party with the Charging Methodologies results in charges which, so far as is reasonably practicable after taking account of implementation costs, reflect the costs incurred, or reasonably expected to be incurred, by the DNO Party in its Distribution Business
- 4 that, so far as is consistent with Clauses 3.2.1 to 3.2.3, the Charging Methodologies, so far as is reasonably practicable, properly take account of developments in each DNO Party's Distribution Business

General Objectives:

- 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks
- 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity
- 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences
- 4 The promotion of efficiency in the implementation and administration of this Agreement

Rationale for better facilitation of the DCUSA Objectives identified above

CDCM Objectives:

General Objectives:

Has this issue been discussed at any other industry forums? If so please specify and provide supporting documentation

PART D – GUIDANCE NOTES FOR COMPLETING THE FORM

| Data Field | Guidance |
|--|--|
| Attachments | Append any proposed legal text or supporting documentation in order to better support / explain the CP. |
| Change Proposal Intent | Outline the issue the CP is seeking to address. Please note that the intent of the CP cannot be altered once submitted. |
| Confidentiality | Clearly indicate if any parts of this Change Proposal Form are to remain confidential to DCUSA Panel (and any subsequent DCUSA Working Group) and Ofgem |
| CP Status | A CP may be deemed 'urgent' in accordance with Clause 10.4.8 of the DCUSA. The proposer should give supporting reasons. |
| DCUSA General Objectives | Indicate which of the DCUSA Objectives will be better facilitated by the Change Proposal. |
| DCUSA CDCM Objectives | Indicate which of the DCUSA CDCM Objectives will be better facilitated by the Change Proposal. Please note that a CDCM change may also facilitate the DCUSA General objectives. |
| Draft Legal Text | Insert proposed legal drafting (change marked against any existing DCUSA drafting). The Change Proposal Intent will take precedence in the event of any inconsistency. |
| Environmental Impact | Indicate whether it is likely that there would be a material impact on greenhouse gas emissions as a result of the proposed variation being made. Please see Ofgem Guidance . |
| Impact of Wider Industry Change | Indicate whether this Change Proposal will be impacted by or have an impact upon wider industry developments. If an impact is identified, explain why the benefit of the Change Proposal may outweigh the potential impact and indicate the likely duration of the Change. |
| Part 1 / Part 2 Matter | A CP must be categorised as a Part 1 or Part 2 matter in accordance with Clause 10.4.7 of the DCUSA. All Part 1 matters require Authority Consent. |
| Proposed Implementation Date | The Change can be implemented in February, June, and November of each year. |
| Proposed Solution | Outline the proposed solution for addressing the stated intent of the CP. The Change Proposal Intent will take precedence in the event of any inconsistency. A DCUSA Working Group may develop alternative solutions. |
| Rationale for DCUSA Objectives | Provide supporting reasons and information (including any initial analysis that supports your views) to demonstrate why the CP will better facilitate each of the DCUSA Objectives identified. |
| Related Change Proposals | Indicate if the CP is related to or impacts any CP already in the DCUSA or other industry change process. |