




Part A: Generic

DCUSA Change Proposal (DCP)		At what stage is this document in the process?
<h1>DCP 373:</h1> <h2>Groups in Schedule 11</h2> <p>Date Raised: 19 August 2020</p> <p>Proposer: Peter Waymont</p> <p>Company: Eastern Power Networks</p> <p>Party Category: DNO</p>		<div>01 – Change Proposal</div> <div>02 – Consultation</div> <div>03 – Change Report</div> <div>04 – Change Declaration</div>
<p>Purpose of Change Proposal:</p> <p>To require submission and updating of relationships of Parties in the same group</p>		
	<p>Governance:</p> <p>The Proposer recommends that this Change Proposal should be:</p> <ul style="list-style-type: none"> • Treated as a Part 2 Matter; • Treated as a Standard Change; and • Proceed to the Change Report phase. <p>The Panel will consider the proposer's recommendation and determine the appropriate route.</p>	
	<p>Impacted Parties: All</p>	
	<p>Impacted Clauses: Schedule 11</p>	

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Indicative Timeline

The Secretariat recommends the following timetable:

Initial Assessment Report	19 August 2020
Change Report Approved by Panel	16 September 2020
Change Report issued for Voting	18 September 2020
Party Voting Closes	09 October 2020
Change Declaration Issued to Parties	13 October 2020
Implementation	05 November 2020



Any questions?

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1 Summary

What?

- 1.1 Add the field 'corporate group' into Schedule 11 'Party Details' to ensure this is maintained by each Party moving forward

Why?

- 1.2 Clause 12.3 (which forms part of Section 1C 'Change Control') requires that voting counts are undertaken on the basis of corporate group for certain Party categories. The Secretariat needs to be aware of such Groups and changes to them.

How?

- 1.3 By placing a field to provide details of a named 'corporate group' in Schedule 11, new Parties will be obliged to provide this upon Accession, but importantly, Clause 57.6 places an obligation on existing Parties to notify the Secretariat of any changes to their details. Therefore, the Secretariat should be able to keep abreast of mergers, de-mergers and acquisitions for the purposes of calculating Party votes on Change Proposals.

2 Governance

Justification for Part 1 and Part 2 Matter

- 2.1 This Change Proposal does not meet the criteria for it to be considered as a Part 1 Matter, therefore it should be treated as a Part 2 Matter.

Requested Next Steps

- 2.2 This Change Proposal should:
- Be treated as a Part 2 Matter;
 - Be treated as a Standard Change; and
 - Proceed to the Change Report phase.

3 Why Change?

- 3.1 Clause 12.3 requires that voting counts are undertaken on the basis of corporate group for certain Party categories. The Secretariat needs to be aware of such groups and changes to them.
- 3.2 By placing a requirement to provide group details in Schedule 11, new Parties will provide this, but importantly Clause 57.6 places an obligation on Parties to notify the Secretariat of any changes. That way the Secretariat should be able to keep abreast of mergers, de-mergers and acquisitions. The abovementioned Clauses are included below for reference:

- 12.3** *The IDNO/OTSO Parties, the Supplier Parties, the CVA Registrants and the Gas Supplier Parties shall cast their votes on a corporate group basis, so that all of the Parties in each such Party Category that fall within a single Group shall collectively have only one vote. The DNO Parties shall cast their votes individually, so that each such Party has one vote. References in this Clause 12 and in Clause 13 to a “Group” shall, therefore, in the case of DNO Parties only, be taken as references to a “Party”.*
- 57.6** *Each Party shall ensure that the Secretariat is notified of any changes from time to time in that Party’s Party Details, so as to ensure that the same can be kept up-to-date from time to time.*

Part B: Code Specific Details

4 Solution and Legal Text

Legal Text

- 4.1 Add “Corporate Group” into schedule 11 as follows:

SCHEDULE 11 – PARTY DETAILS

Full Party Name		
Registered number		
Registered address		
Applicable Party Category(ies)		
<u>Corporate Group</u>		
Date of accession		
Date of termination		
Market Domain I.D.	[applicable dates]	[I.D.]
(DNO/IDNO Parties and Supplier Parties only)	[applicable dates]	[I.D.]
Contract Manager		
UK address, fax and email for notices		
Emergency SRN		
Current aggregate of Maximum Export/Import* Capacities (CVA Registrants only) *whichever is greater on a site-by-site basis		

Text Commentary

- 4.2 This is a simple change, which is self-evident and therefore no formal commentary is required.

5 Code Specific Matters

Reference Documents

5.1 None.

6 Relevant Objectives

DCUSA General Objectives	Identified impact
<input type="checkbox"/> 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks	None
<input type="checkbox"/> 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity	None
<input type="checkbox"/> 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences	None
<input checked="" type="checkbox"/> 4 The promotion of efficiency in the implementation and administration of the DCUSA	Positive
<input type="checkbox"/> 5 Compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None
6.1 This Change Proposal enhances the administration of the DCUSA voting process, therefore, it better facilitates DCUSA General Objective 4.	

7 Impacts & Other Considerations

Does this Change Proposal impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

7.1 No.

Does this Change Proposal Impact Other Codes?

- BSC ☐
- CUSC ☐
- Grid Code ☐
- MRA ☐
- SEC ☐
- Other ☐

None ☒

7.2 No.

Consideration of Wider Industry Impacts

7.3 None.

Confidentiality

7.4 Non-confidential.

8 Implementation

Proposed Implementation Date

8.1 Next release after approval, which is expected to be 05 November 2020.

9 Recommendations