








## Part A: Generic

DCUSA Change Proposal (DCP)		At what stage is this document in the process?
<h1>DCP 373:</h1> <h2>Groups in Schedule 11</h2> <p><b>Date Raised:</b> <i>July 2020</i></p> <p><b>Proposer:</b> <i>Peter Waymont</i></p> <p><b>Company:</b> <i>Eastern Power Networks</i></p> <p><b>Party Category:</b> <i>DNO</i></p>		<p><b>01 – Change Proposal</b></p> <p>02 – Consultation</p> <p>03 – Change Report</p> <p>04 – Change Declaration</p>
<p><b>Purpose of Change Proposal:</b></p> <p>To require submission and updating of relationships of parties in the same group</p>		
	<p><b>Governance:</b></p> <p>The Proposer recommends that this Change Proposal should be:</p> <ul style="list-style-type: none"> <li>• Part 2 Matter</li> <li>• Treated as a Standard Change</li> <li>• Proceed to Change Report</li> </ul> <p>The Panel will consider the proposer’s recommendation and determine the appropriate route.</p>	
	<p>Impacted Parties: All</p>	
	<p>Impacted Clauses: Schedule 11</p>	

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<b>Indicative Timeline</b>		 020 7432 3011
<b>The Secretariat recommends the following timetable:</b>		Proposer: <b>Peter Waymont</b>
Initial Assessment Report	16 September 2020	 peter.waymont@ukpowernetworks.co.uk
Change Report Approved by Panel	21 October 2020	
Change Report issued for Voting	23 October 2020	
Party Voting Closes	13 November 2020	
Change Declaration Issued to Parties	17 November 2020	
Implementation	25 February 2021	

## 1 Summary

### What?

- 1.1 Add corporate group into schedule 11

### Why?

- 1.2 Clause 12.3 requires that voting counts are undertaken on the basis of corporate group for certain party categories. The secretariat needs to be aware of such groups and changes to them.

### How?

- 1.3 By placing a requirement to provide group details in schedule 11, new parties will provide this, but importantly Clause 57.6 places an obligation on parties to notify the secretariat of any changes. That way the secretariat should be able to keep abreast of mergers, de-mergers and acquisitions.

## 2 Governance

### Justification for Part 1 and Part 2 Matter

#### Requested Next Steps

- 2.1 This Change Proposal should:
  - Be treated as a Part 2 Matter
  - Be treated as a Standard/~~Urgent~~ Change
  - Proceed to Change Report/~~Working Group~~
- 2.2 This meets the criteria for Part 2.

## 3 Why Change?

- 3.1 Clause 12.3 requires that voting counts are undertaken on the basis of corporate group for certain party categories. The secretariat needs to be aware of such groups and changes to them.
- 3.2 By placing a requirement to provide group details in schedule 11, new parties will provide this, but importantly Clause 57.6 places an obligation on parties to notify the secretariat of any changes. That way the secretariat should be able to keep abreast of mergers, de-mergers and acquisitions

*12.3 The IDNO/OTSO Parties, the Supplier Parties, the CVA Registrants and the Gas Supplier Parties shall cast their votes on a corporate group basis, so that all of the Parties in each such Party Category that fall within a single Group shall collectively have only one vote. The DNO Parties shall cast their votes individually, so that each such Party has one vote. References in this Clause 12 and in Clause 13 to a "Group" shall, therefore, in the case of DNO Parties only, be taken as references to a "Party".*

*57.6 Each Party shall ensure that the Secretariat is notified of any changes from time to time in that Party's Party Details, so as to ensure that the same can be kept up-to-date from time to time.*

## Part B: Code Specific Details

## 4 Solution and Legal Text

### Legal Text

- 4.1 Add "Corporate Group" into schedule 11

### Text Commentary

- 4.2 This is a simple change

## 5 Code Specific Matters

### Reference Documents

5.1 none

## 6 Relevant Objectives

DCUSA General Objectives	Identified impact
<input type="checkbox"/> 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks	None
<input type="checkbox"/> 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity	None
<input type="checkbox"/> 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences	None
<input checked="" type="checkbox"/> 4 The promotion of efficiency in the implementation and administration of the DCUSA	Positive
<input type="checkbox"/> 5 Compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None
6.1 This enhances the administration of the DCUSA voting process	

## 7 Impacts & Other Considerations

### Does this Change Proposal impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

7.1 no

### Does this Change Proposal Impact Other Codes?

- BSC
- CUSC
- Grid Code

- MRA
- SEC
- Other
- None

## Consideration of Wider Industry Impacts

7.2 n/a

## Confidentiality

7.3 n/a

## 8 Implementation

### Proposed Implementation Date

8.1 Next release after approval

## 9 Recommendations