














Part A: Generic

DCUSA Change Proposal (DCP)		At what stage is this document in the process?
<h1>DCP 381:</h1> <h2>Update to Sandbox Process</h2> <p><i>Insert date raised</i> 09 February 2021</p> <p><i>Proposer Name</i> Peter Waymont</p> <p><i>Company Name</i> Eastern Power Networks</p> <p><i>Company Category</i> DNO</p>		<div>01 – Change Proposal</div> <div>02 – Consultation</div> <div>03 – Change Report</div> <div>04 – Change Declaration</div>
<p>Purpose of Change Proposal:</p> <p>To amend the DCUSA Sandbox Process</p>		
	<p>Governance:</p> <p>The Proposer recommends that this Change Proposal should be:</p> <ul style="list-style-type: none"> Part 2 Matter Treated as a Standard Change Proceed to Change Report <p>The Panel will consider the proposer's recommendation and determine the appropriate route.</p>	
	<p>Impacted Parties: All</p>	
	<p>Impacted Clauses: 56</p>	

Contents		?	Any questions?
1	Summary	2	Contact:
2	Governance	3	George Dawson
3	Why Change?	4	 George.dawson@electralink.co.uk
4	Solution and Legal Text	4	 0203 319 1880
5	Code Specific Matters	4	Proposer:
6	Relevant Objectives	6	Peter Waymont
7	Impacts & Other Considerations	6	 peter.waymont@ukpowernetworks.co.uk
8	Implementation	6	 07875 112757
9	Recommendations		Other:
Indicative Timeline			Insert name
The Secretariat recommends the following timetable:			 email address.
Initial Assessment Report	17 February 2021		 telephone
Change Report Approved by Panel	17 March 2021		Other:
Change Report issued for Voting	19 March 2021		Insert name
Party Voting Closes	09 April 2021		 email address.
Change Declaration Issued to Parties	13 April 2021		 telephone
			Other:
			Insert name
			 email address.
			 telephone

1 Summary

What

The DCUSA Sandbox Process needs to be amended, following feedback from Ofgem to the DCUSA Board.

Why

The process should be amended to align with the preferred service following the feedback contained in Ofgem's letter. The letter is attached as Attachment 1.

Ofgem have confirmed to DCUSA that this letter can be shared.

How

By aligning the DCUSA text to the proposed process. This is summarised below:

Where an innovator approaches the DCUSA Secretariat to request guidance regarding their project and whether it will be impacted by the DCUSA, the Secretariat will provide appropriate feedback. They will inform Ofgem that they have been contacted by a particular project and provide Ofgem with an indication as to whether a sandbox application will be made in the near future.

It is suggested that the following are used for assessing an applications' eligibility:

- Innovation
- Consumer benefit
- Background research and
- The need for sandbox support

Once an application has been made to Ofgem and it is clear the proposal has DCUSA implications, the Secretariat will provide the following services:

- Once Ofgem determines an application is Duly Made, the outcome of Ofgem's initial assessment will be sent to the Secretariat to carry-out the Detailed Assessment of the application, which will include an assessment of the proposal's eligibility and suitability for the DCUSA sandbox.
- The Secretariat will undertake the Detailed Assessment, complete the 'sandbox application assessment form' and return this to Ofgem with an interim recommendation to approve or reject the application.
- Ofgem will complete their own assessment and make an interim decision based on the combined assessment, as to whether or not the sandbox request is to be approved.
- With this interim pre-approval decision from Ofgem, the Secretariat will present the application to the DCUSA board for approval.
- The DCUSA Board's decision will be communicated to Ofgem for final approval.

2 Governance

Justification for Part 1 and Part 2 Matter

This is Part 2 matter as it does not meet the criteria for Part 1.

Requested Next Steps

This Change Proposal should:

- Be treated as a Part 2 Matter
- Be treated as a Standard Change
- Proceed to Change Report

The legal text reflects the process and the process above meets Ofgem's requirements.

3 Why Change?

To align the DCUSA text with the desired process detailed in the letter (Attachment 1).

Part B: Code Specific Details

4 Solution and Legal Text

The legal text is attached as Attachment 2

Text Commentary

See Attachment 2. This is designed to align the legal text to the high-level process described in section 1 "Why?" and as further explained in Ofgem's letter at Attachment 1.

5 Code Specific Matters

Reference Documents

N/A

6 Relevant Objectives

DCUSA Charging Objectives	Identified impact
<input type="checkbox"/> 1 that compliance by each DNO Party with the Charging Methodologies facilitates the discharge by the DNO Party of the obligations imposed on it under the Act and by its Distribution Licence	Positive/Negative/None

<input type="checkbox"/> 2 that compliance by each DNO Party with the Charging Methodologies facilitates competition in the generation and supply of electricity and will not restrict, distort, or prevent competition in the transmission or distribution of electricity or in participation in the operation of an Interconnector (as defined in the Distribution Licences)	Positive/Negative/None
<input type="checkbox"/> 3 that compliance by each DNO Party with the Charging Methodologies results in charges which, so far as is reasonably practicable after taking account of implementation costs, reflect the costs incurred, or reasonably expected to be incurred, by the DNO Party in its Distribution Business	
<input type="checkbox"/> 4 that, so far as is consistent with Clauses 3.2.1 to 3.2.3, the Charging Methodologies, so far as is reasonably practicable, properly take account of developments in each DNO Party's Distribution Business	Positive/Negative/None
<input type="checkbox"/> 5 that compliance by each DNO Party with the Charging Methodologies facilitates compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	Positive/Negative/None
<input type="checkbox"/> 6 that compliance with the Charging Methodologies promotes efficiency in its own implementation and administration.	Positive/Negative/None
DCUSA General Objectives	Identified impact
<input type="checkbox"/> 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks	None
<input type="checkbox"/> 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity	None
<input type="checkbox"/> 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences	None
<input checked="" type="checkbox"/> 4 The promotion of efficiency in the implementation and administration of the DCUSA	Positive
<input type="checkbox"/> 5 Compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None

It creates efficiency in the administration of the DCUSA process for the Sandbox applications.

7 Impacts & Other Considerations

Does this Change Proposal impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

None

Does this Change Proposal Impact Other Codes?

Please tick the relevant boxes and provide any supporting information

- | | |
|-----------|-------------------------------------|
| BSC | <input type="checkbox"/> |
| CUSC | <input type="checkbox"/> |
| Grid Code | <input type="checkbox"/> |
| MRA | <input type="checkbox"/> |
| SEC | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |
| None | <input checked="" type="checkbox"/> |

Consideration of Wider Industry Impacts

None

Confidentiality

None

Implementation

As soon as practicable.

Proposed Implementation Date

First release following approval.

9 Recommendations

The Code Administrator will provide a summary of any recommendations/determinations provided by the Panel in considering the initial Change Proposal. This will form part of a Final Change Report.