

CACoP Principle 12 - Annual Report (2021)

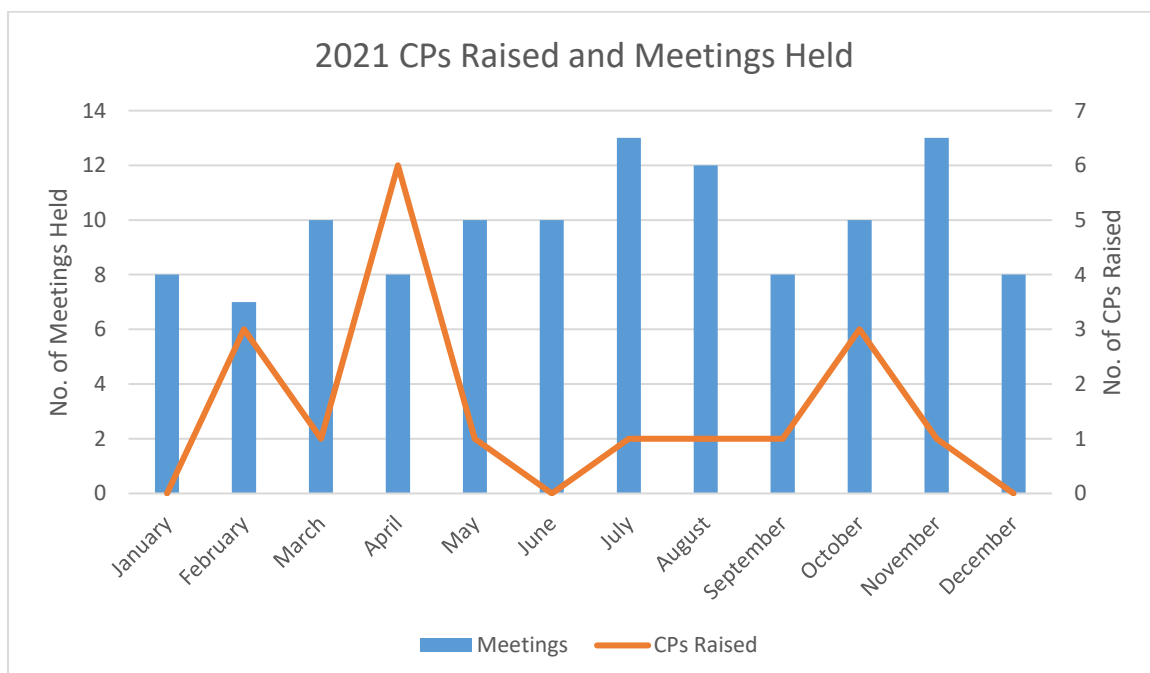
Code: Distribution Connection Use of System Agreement (DCUSA)
Reporting Period: 1 January 2021 to 31 December 2021

1. Background

- 1.1 The Code Administration Code of Practice (CACoP) was introduced following Ofgem’s Code Governance Review. The purpose of the code is to “facilitate convergence and transparency in code modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.
- 1.2 All energy Code Administrators have committed to operating their Code Administration functions in accordance with the Code of Practice. CACoP Principle 12 requires Code Administrators to assess their efficiency in discharging the roles and responsibilities captured within the principles of the CACoP and the effectiveness of the change management process more generally.
- 1.3 This report sets out the results measured under the Distribution Connection Use of System Agreement (DCUSA) in 2021, against each of the required qualitative and quantitative metrics.

2. DCUSA Metrics

- 1.4 The tables on the pages that follow present qualitative and quantitative metrics and their results relating to the administration of the DCUSA over the period 1 January 2021 to 31 December 2021.
- 1.5 The chart below highlights the number of meetings that the Code Administrator has provided services to alongside the number of Change Proposals (CPs) raised by Parties across 2021.



Quantitative Metrics																						
Activity	Metric	Result																				
QUALITY OF ASSESSMENT	Number of reports 'sent back' by the Authority	One report was sent back by the Authority in 2021, which was DCP 349.																				
	Number and percentage of final decisions on which the Authority's assessment: <ul style="list-style-type: none"> i) accords with the Panel's recommendation against the Relevant/Applicable Objectives ii) conflicts with the Panel's recommendation owing to wider statutory considerations 	<p>In 2021, we received six Authority decisions, however, one of these was a send-back, and so considering the remaining 5 decisions, all accorded with the Parties recommendation with respect to whether the change better facilitates the relevant/applicable DCUSA Objectives.</p> <p>Accords = 5 (100%) Conflicts = 0 (0%)</p> <p>It should be noted, that for DCUSA Change Proposals (DCPs), it is in fact the Parties to the Agreement (and not the Panel that provide a recommendation to the Authority as to whether a Change Proposal should be accepted or rejected. This recommendation is formed from of the result of the Party voting mechanism which is detailed within the Agreement.</p> <table border="1" data-bbox="1406 395 2123 699"> <thead> <tr> <th>DCP</th> <th>Party Recommendation</th> <th>Authority Decision</th> </tr> </thead> <tbody> <tr> <td>DCP 266</td> <td>Reject</td> <td>Reject</td> </tr> <tr> <td>DCP 349</td> <td>Accept</td> <td>Send-Back</td> </tr> <tr> <td>DCP 391</td> <td>Accept</td> <td>Accept</td> </tr> <tr> <td>DCP 375</td> <td>Accept</td> <td>Accept</td> </tr> <tr> <td>DCP 393</td> <td>Accept</td> <td>Accept</td> </tr> <tr> <td>DCP 383</td> <td>Accept</td> <td>Accept</td> </tr> </tbody> </table>	DCP	Party Recommendation	Authority Decision	DCP 266	Reject	Reject	DCP 349	Accept	Send-Back	DCP 391	Accept	Accept	DCP 375	Accept	Accept	DCP 393	Accept	Accept	DCP 383	Accept
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EFFECTIVE COMMUNICATION	Average number of respondents to consultations	<p>DCUSA consultations are issued to DCUSA Parties and other interested parties in order to gather industry opinion on the development of CPs.</p> <p>In 2021, DCUSA issued 7 consultations with an average number of respondents to a consultation of 11.57.</p> <p>The table below details which DCPs were consulted on and how many responses were received for each.</p> <table border="1" data-bbox="674 1118 1704 1198"> <thead> <tr> <th>DCP 386</th> <th>DCP 375</th> <th>DCP 328</th> <th>DCP 383</th> <th>DCP 387</th> <th>DCP 389</th> <th>DCP 390</th> </tr> </thead> <tbody> <tr> <td>8</td> <td>8</td> <td>12</td> <td>10</td> <td>13</td> <td>12</td> <td>18</td> </tr> </tbody> </table>	DCP 386	DCP 375	DCP 328	DCP 383	DCP 387	DCP 389	DCP 390	8	8	12	10	13	12	18						
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EFFICIENT ADMINISTRATION	Percentage of papers published outside of the Modification rules requirements.	0%																				

	<p>Number and percentage of reports submitted to the Authority in line with the original timetable</p>	<p>7 CPs were sent to the Authority for final decision in 2021. The DCUSA Change Process takes into account the need for timetable extensions by Working Groups and is flexible in that regard. Where a CP is designated as a Part 1 Matter (meaning it requires Authority approval to be implemented), it is almost always the case that a Working Group will request and be given a timetable extension. In some circumstances, a CP may progress directly to the Change Report stage (i.e., bypassing development by a Working Group) and for these CPs, timetable extensions are almost always not needed.</p>	<table border="1"> <thead> <tr> <th colspan="2">CPs sent to the Authority for final decision</th> </tr> </thead> <tbody> <tr> <td>DCP 349</td> <td>DCP 383</td> </tr> <tr> <td>DCP 391</td> <td>DCP 349</td> </tr> <tr> <td>DCP 375</td> <td>DCP 390</td> </tr> <tr> <td>DCP 393</td> <td></td> </tr> </tbody> </table>	CPs sent to the Authority for final decision		DCP 349	DCP 383	DCP 391	DCP 349	DCP 375	DCP 390	DCP 393																																																					
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<p>Number of extensions to timetables requested</p>	<p>Each CP is submitted to the DCUSA Panel for an initial assessment with a fixed timetable for the Working Group to analyse and develop the CP over 6 months. Working Groups are required to agree to a CP progression timetable at their first meeting and this is submitted to the Panel for approval. Where the Working Group fails to meet the Panel's first approved deadline, the Working Group submits a revised timetable requesting for a further extension. The DCUSA Panel reviews each extension request and grants them according to the guidelines set out within the Change Process. In 2021 all CPs that were developed by a Working Group required extensions to their initial timetables.</p>																																																																
<p>Average time between a non-urgent proposal being raised and submitted for decision</p>	<p>The DCUSA CPs range in content and vary from simple drafting changes to complex charging methodology changes. Therefore, the CPs vary in time between the type of change it encompasses. In 2021, seven Part 1 Matter CPs were voted on by DCUSA Parties and then submitted to the Authority for decision and six Part 2 Matter CPs issued to Parties for voting.</p> <table border="1"> <thead> <tr> <th>DCPs (Part 1)</th> <th>Raised</th> <th>Submitted to Ofgem</th> <th>Count of days</th> <th>DCPs (Part 2)</th> <th>Raised</th> <th>Submitted to Parties</th> <th>Count of days</th> </tr> </thead> <tbody> <tr> <td>DCP 349</td> <td>10/06/2019</td> <td>17/03/2021</td> <td>646</td> <td>DCP 362</td> <td>03/02/2020</td> <td>22/01/2021</td> <td>354</td> </tr> <tr> <td>DCP 391</td> <td>05/05/2021</td> <td>15/06/2021</td> <td>41</td> <td>DCP 378</td> <td>05/11/2020</td> <td>22/01/2021</td> <td>78</td> </tr> <tr> <td>DCP 375</td> <td>30/09/2020</td> <td>24/09/2021</td> <td>359</td> <td>DCP 379</td> <td>05/11/2020</td> <td>29/01/2021</td> <td>85</td> </tr> <tr> <td>DCP 393</td> <td>13/08/2021</td> <td>22/09/2021</td> <td>40</td> <td>DCP 380</td> <td>09/12/2020</td> <td>22/01/2021</td> <td>44</td> </tr> <tr> <td>DCP 383</td> <td>10/02/2021</td> <td>16/11/2021</td> <td>279</td> <td>DCP 381</td> <td>09/02/2021</td> <td>23/04/2021</td> <td>73</td> </tr> <tr> <td>DCP 349</td> <td>10/06/2019</td> <td>14/12/2021</td> <td>918</td> <td>DCP 385</td> <td>25/03/2021</td> <td>23/07/2021</td> <td>120</td> </tr> <tr> <td>DCP 390</td> <td>14/04/2021</td> <td>21/12/2021</td> <td>251</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	DCPs (Part 1)	Raised	Submitted to Ofgem	Count of days	DCPs (Part 2)	Raised	Submitted to Parties	Count of days	DCP 349	10/06/2019	17/03/2021	646	DCP 362	03/02/2020	22/01/2021	354	DCP 391	05/05/2021	15/06/2021	41	DCP 378	05/11/2020	22/01/2021	78	DCP 375	30/09/2020	24/09/2021	359	DCP 379	05/11/2020	29/01/2021	85	DCP 393	13/08/2021	22/09/2021	40	DCP 380	09/12/2020	22/01/2021	44	DCP 383	10/02/2021	16/11/2021	279	DCP 381	09/02/2021	23/04/2021	73	DCP 349	10/06/2019	14/12/2021	918	DCP 385	25/03/2021	23/07/2021	120	DCP 390	14/04/2021	21/12/2021	251				
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<p>Average time between a proposal being submitted for decision and a decision being published</p>	<p>Following the Party vote which during 2021 was set for a period of 15 business days, a Change Declaration is issued to DCUSA Parties within 2 business days. If the CP is classified as a Part 2 Matter (Self Governance), then the Party vote is the deemed to be the decision whether the CP is accepted or rejected, and the Change Declaration would be considered to be publication of the decision. Where the CP is classified as a Part 1 Matter, then the Party vote acts as recommendation to the Authority as to whether to accept or reject. The Change Declaration and supporting documents are then issued to Ofgem for final decision.</p>																																																																

DCPs (Part 1)	Submitted to Ofgem	Decision made	Count of days
DCP 297	22/01/2018	TBC (still awaiting)	TBC (1439 days as of 31 December 2021)
DCP 313	16/04/2019	TBC (still awaiting)	TBC (990 days as of 31 December 2021)
DCP 343	18/09/2019	TBC (still awaiting)	TBC (835 days as of 31 December 2021)
DCP 266	12/11/2019	30/03/2021	504
DCP 349	17/03/2021	21/04/2021	35
DCP 391	15/06/2021	02/07/2021	17
DCP 375	24/09/2021	15/12/2021	82
DCP 393	22/09/2021	11/10/2021	19
DCP 383	16/11/2021	15/12/2021	29
DCP 349	14/12/2021	TBC (still awaiting)	TBC (17 days as of 31 December 2021)
DCP 390	21/12/2021	TBC (still awaiting)	TBC (10 days as of 31 December 2021)
DCPs (Part 2)	Submitted to Parties	Decision made	Count of days
DCP 362	22/01/2021	16/02/2021	25
DCP 378	22/01/2021	16/02/2021	25
DCP 379	29/01/2021	16/02/2021	18
DCP 380	22/01/2021	16/02/2021	25
DCP 381	23/04/2021	19/05/2021	26
DCP 385	23/07/2021	17/08/2021	25

Average time between decision and implementation (separately identifying systems and non-system changes)

15 Change Proposals were implemented in 2021. The DCUSA has three standard releases per year in February, June and November. In addition, there was one Special release on the 01 April and four extraordinary releases throughout the year.

In order to ensure consistency across the industry the publication dates for the three scheduled releases are kept in line with those published in the other industry codes such as the Balancing and Settlement Code (BSC) and prior to its closure, the Master Registration Agreement (MRA). The table to the right sets out the implementation date of each of the CPs that were implemented and in which DCUSA release each was implemented.

Version	Date	DCPs Implemented	Count
v13.0	19/02/2021	379	1
v13.1	25/02/2021	362 + 373	2
v13.2	01/04/2021	268 + 333 + 342 + 341 + 356 + 363 + 378	7
v13.3	24/06/2021	381	1
v13.4	01/09/2021	374 + 391	2
v13.5	18/10/2021	393	1
v13.6	28/10/2021	N/A (Directed by BEIS)	0
v13.7	04/11/2021	385	1

		Further to this, it should be noted that due to the 15-month lead time required for changes to the charging methodologies, the time taken from decision to implementation may appear longer than other Codes and thus there is no proper average on which to evaluate this parameter.
	Number of Fast Track Self-Governance Modifications raised	<p>The DCUSA does not specifically cater for 'Fast Track' Self-Governance CPs, however there is an ability to cut down on the normal administrative timelines where it is deemed to be required. DCUSA Self Governance CPs are designated as Part 2 Matters, meaning that they do not require a final decision from the Authority. Instead, the CP will be implemented if for the majority of Party Categories that vote on a CP, more than 65% of Parties within each Party Category that is eligible to vote, votes to approve the CP. In 2021, 5 Self-Governance CPs were raised, and these are detailed in the table.</p>
IMPLEMENTATION COSTS	Number and percentage of reports for which implementation cost estimates were available for consultation	None of the CPs submitted in 2021 required implementation cost estimates.
	Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs	None of the CPs submitted in 2021 required implementation cost estimates.

- DCP 381
- DCP 385
- DCP 396
- DCP 397
- DCP 398